

# Lilly Endowment Inc.

## Exempt Status and Charity/Foundation Status Information Form

Lilly Endowment Inc. is required by law and Internal Revenue Service (IRS) regulations to determine the exempt status and the public charity or private foundation status of each organization to which the Endowment makes a grant. Therefore, it is necessary that you supply the following information, attach the requested documents, affix the signature of a responsible officer of your organization and return one copy of this form and the requested documents to the Endowment before we will be able to process your application for a grant

**1. Name, Address, Phone, EIN:** Exact name, address, phone number and employer identification number (EIN) of your organization:

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Zip Code: \_\_\_\_\_ Telephone No: \_\_\_\_\_ EIN No: \_\_\_\_\_

**2. Exempt Status:** Attach a copy of the most recent IRS letter determining that your organization is exempt from federal income taxes under Internal Revenue Code Section 501(c)(3).

**3. Public Charity or Private Foundation Status:** Check one box below describing your organization and attach a copy of the most recent IRS letter determining your organization's public charity or private foundation status under the Internal Revenue Code (this may be the same letter as described in item 2):

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|---|--|--|
| <input type="checkbox"/> 1 Church (religious <u>congregation</u> )<br>Section 170(b)(1)(A)(i)                 | <input type="checkbox"/> 5 Governmental unit<br>Section 170(b)(1)(A)(v)  | <input type="checkbox"/> 9 Private operating foundation<br>Section 4942(j)(3)  |
| <input type="checkbox"/> 2 School<br>Section 170(b)(1)(A)(ii)   | <input type="checkbox"/> 6 Publicly supported charity<br>Section 170(b)(1)(A)(vi)  | <input type="checkbox"/> 10 Exempt operating foundation<br>Section 4940(d)(2)  |
| <input type="checkbox"/> 3 Hospital<br>Section 170(b)(1)(A)(iii)  | <input type="checkbox"/> 7 Public charity with limited investment<br>income<br>Section 509(a)(2)   | <input type="checkbox"/> 11 Private non-operating foundation<br>Section 509(a) |
| <input type="checkbox"/> 4 Organization benefiting state<br>college or university<br>Section 170(b)(1)(A)(iv) | <input type="checkbox"/> 8 Supporting organization<br>Section 509(a)(3)<br><input type="checkbox"/> Type I - Section 509(a)(3)(B)(i)<br><input type="checkbox"/> Type II - Section 509(a)(3)(B)(ii)<br><input type="checkbox"/> Type III - Section 509(a)(3)(B)(iii) |  |

NOTE: Organizations without an IRS determination letter will need to establish their public charity status with independent and adequate documentation. For example, a congregation may be covered under a group ruling granted by the IRS to its parent denomination or judicatory; in such a case, the congregation would need to provide documentation of both i) the parent's group ruling and ii) the congregation's inclusion as a "subordinate" organization covered under that group ruling.

**4. Effect of Grant:** If your organization is not now a private foundation, will receipt of the grant requested from Lilly Endowment cause your organization to become a private foundation?

Yes  No

**5. Lobbying:** Does your organization make expenditures to carry on propaganda or otherwise to attempt to influence legislation?

Yes  No

If yes, please attach an itemized budget for the project or program for which you seek a grant from the Endowment showing that no part of the grant will be used for such activities.

Date: \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Please print name)

\_\_\_\_\_  
(Title – Must be a responsible officer)

\_\_\_\_\_  
(Email address)