


WELCOME

Giving Indiana Funds for Tomorrow Phase VIII Information Session


October 2023




Lilly Endowment Inc.
A Private Philanthropic Foundation

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Introductions



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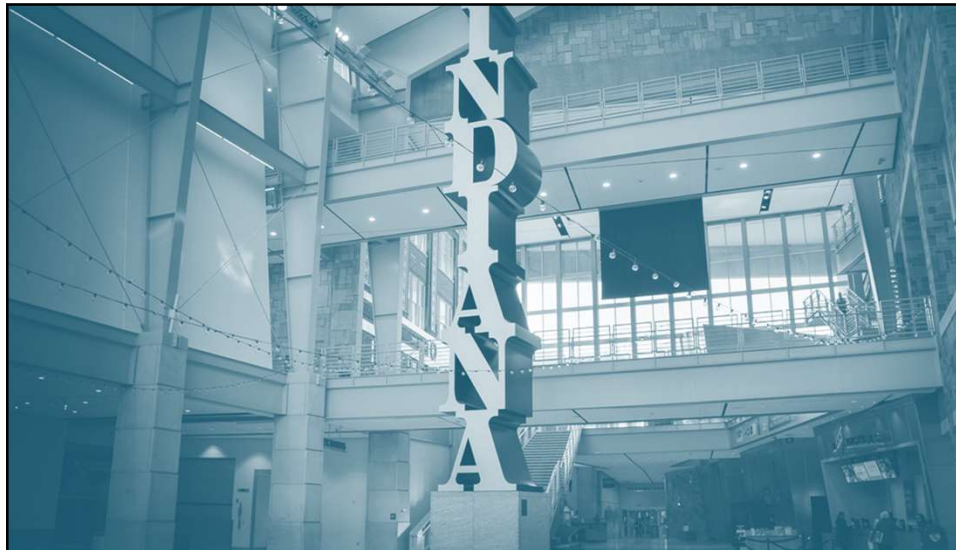
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Agenda

1. GIFT Initiative Overview
2. GIFT VIII Overview
 - Matching Fund Grants
 - Community Leadership Grants
 - Board Engagement Grants
3. Questions and Answers
4. Next Steps
5. Closing




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GIFT Initiative: Overview



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“We believe the best way to assist Indiana communities is to help them generate local solutions to local problems. Our intention is to aid Indiana in developing a perpetual legacy of self-reliance to serve the state’s communities for generations to come.”

Thomas H. Lake – Chairman, Lilly Endowment (1990)

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Community Foundations’ Unique Position

Community foundations:

- Are anchored in the communities they serve.
- Convene and engage local stakeholders to address local challenges and opportunities.
- Sustain local efforts to improve the quality of life with a long-term focus beyond a single program or initiative.

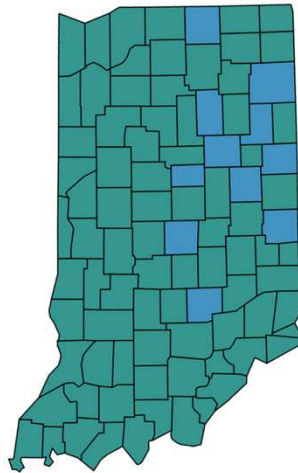


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Indiana Community Foundations Promising Progress Since 1990

1990*

- 11 community foundations
- \$100 million in assets



2022**

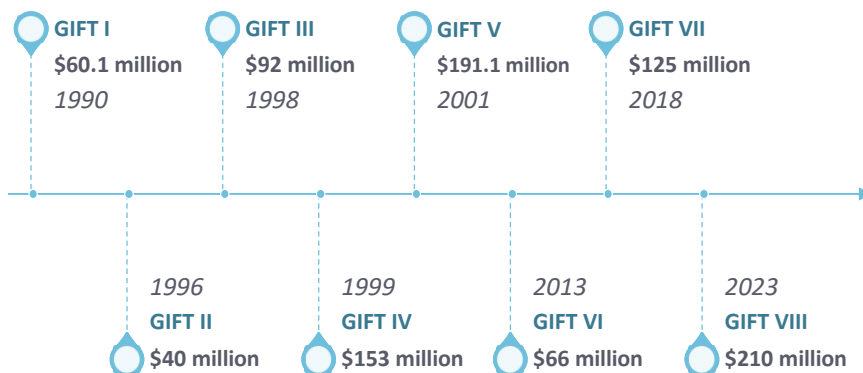
- 94 community foundations
- \$4.6 billion+ in assets

* Community Foundations founded prior to 1990 (blue)
 ** Community foundations founded after 1990 (green)
 + \$3.7 billion for community foundations regularly participating in previous phases of GIFT



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GIFT Initiative Timeline



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GIFT VIII

Background and Rationale

- Indiana community foundations have established themselves as trusted institutions
- There is cause for optimism about the future of Indiana communities
- Addressing challenges and taking advantage of promising opportunities requires sustained, highly collaborative action among public, private and nonprofit stakeholders at the local level.



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GIFT VIII

Initiative Overview

Total Allocation: up to \$210 million

Funding Components:

Matching Fund Grants: up to \$135.3 million

Community Leadership Grants: up to \$65.5 million

- Phase 1 Planning Grants: up to \$5.5 million
- Phase 2 Implementation Grants: up to \$60 million

Board Engagement Grants: up to \$9.2 million



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Matching Fund Grants

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Matching Fund Grants

- Grants ranging from up to **\$750,000 - \$15 million** based on the population of the county served.
- Matching Period: **October 1, 2023, through December 31, 2025.**
- Three matching fund options:
 - Unrestricted Endowment Building** (at least 60 percent of matching fund grant): **\$2(grantor)-to-\$1(grantee)**
 - Community Projects and/or Programs (CPP)** (up to 30 percent of matching fund grant): **\$1(grantor)-to-\$1(grantee)**
 - Internal Capacity Building (ICB)** (up to 10 percent of matching fund grant): **\$1(grantor)-to-\$1(grantee)**



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Matching Fund Grant Proposals

Matching fund grant proposals are **due no later than January 31, 2024**, and should include the following:

Cover Letter signed by the foundation's executive leader and board chair.

Proposal Narrative (no more than five (5) pages) describing how the community foundation intends to allocate and deploy its matching fund grant

Budget

Budget Narrative (if matching funds are allocated to CPP and/or ICB options)

Public Support Worksheet that shows the community foundation's most recent public support calculation from Form 990, Schedule A

Please refer to the **GIFT VIII RFP** for full details.



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Community Leadership Grants

- GIFT VIII's Community Leadership Grants component is designed to support community foundations' leadership work and their efforts to address substantial and compelling priorities in their communities.
 - Phase 1** Planning grants: up to \$5.5 million
 - Phase 2** Implementation grants: up to \$60 million



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Guiding Questions and Priorities

- What does your foundation and/or community **need to better understand about local challenges and opportunities**? For example, are there studies or analyses that could be conducted to inform the community foundation's understanding of community needs and development of strategies to address them?
- Has your community foundation **engaged in broad and inclusive community outreach** that includes input from **a diverse range of community stakeholders from throughout the county** served by the community foundation as well as **underrepresented populations** to discern the community's priorities?



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Guiding Questions and Priorities

- Are there **local initiatives or projects already underway** in your community with funding needs beyond what is available from other funders, such as government and/or business stakeholders?
- How can your community address its highest priority challenges and opportunities? What **initiatives, projects and/or programs can be implemented** to address them effectively and thereby enhance your community's quality of life and place?
- How can your community foundation **play a leadership role** in plans to address the identified challenges and opportunities? Will the community foundation direct local efforts or will it support community partners better positioned to steer community efforts?



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Phase 1 Overview

The planning phase provides funding to be used for **planning and stakeholder engagement activities** in 2024 that satisfy the aim of GIFT VIII and address its guiding questions.

- Eligible planning activities can also include, but are not limited to, **stakeholder engagement, consultants, feasibility studies, site visits to other communities, assessments, and grant writing.**
- Planning grant proposal should include:
 - **Cover Letter**
 - **Proposal Narrative**
 - **Budget**

- Noncompetitive
- Open to all eligible community foundations
- Grants ranging from **\$50,000 - \$250,000** (based on county/region population)
- Submission deadline: **November 10, 2023**
- Payment in December 2023



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Phase 2 Overview

Phase 2 grants are designed to support activities and strategies that achieve **broad and substantial impact** or **address an especially compelling need of a specific population** in a community or region.

Phase 2 is a competitive, two-stage funding opportunity.

Stage 1: Concept paper is considered a “request for ideas.”

Stage 2: Select community foundations may be invited to submit proposals for funding later in 2024.

- Competitive
- Open to all eligible community foundations that **participated in Phase 1.**
- Grants ranging from **\$5 million - \$20 million** (based on county/region population)
- Submission deadline: **April 30, 2024**



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Phase 2 Implementation Grants

Potential Areas of Focus

- Education (Pre-K, K-12, higher education)
- Affordable housing
- Substance use disorder and mental health
- Economic and workforce development
- Arts and culture
- Youth programming

Potential Grant Activities

- Capital projects to enhance the local quality of life and place.
- Programs to assist individuals facing certain hardships.
- Workforce preparation and readiness programs.
- Efforts to increase the supply of affordable housing.



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Phase 2 Concept Papers

Phase 2 concept papers should include the following:

Cover Letter signed by the foundation's executive staff leader and board chair.

Executive Summary: **One-page** summary of the idea being proposed in the concept paper.

Concept Paper Narrative: This is a narrative overview describing the programs, projects and/or strategies that are being proposed to address an especially compelling high priority community challenge or opportunity. Concept papers are subject to the following page limits based on funding eligibility:

- Up to \$7.5 million: no longer than **six (6) pages**.
- Up to \$10 million or more: no longer than **eight (8) pages**.
- Joint/regional collaborations: no longer than **twelve (12) pages**.

The concept paper narrative should include descriptions of, among other things the concept's purpose and rationale; proposed activities; roles and responsibilities; proposed outcomes; sustainability needs; risk and mitigation strategies.



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Phase 2 Concept Papers

Implementation Timeline: A timeline for implementation. This may be a brief narrative description or a more visual method such as a Gantt chart.

Budget: The budget is a detailed account of the costs and expenses associated with the proposed grant activities.

Budget Narrative: The budget narrative describes each budget line item and clearly explains how it was calculated, including any assumptions made.

Letters of Support: These are letters from community stakeholders who were engaged during the planning period and are supportive of the proposed idea. Organizations playing key roles in executing grant activities should submit letters of support describing their roles and responsibilities. Up to **six (6) letters of support** may be submitted.

Please refer to the **GIFT VIII RFP** for full details.



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Community Leadership Grants: Joint/Regional Proposals

While not required, community foundations that want to work with foundations in other counties to better understand and address shared priorities may submit a joint/regional through both Phase 1 and Phase 2 of the Community Leadership Grants component.

For each phase, a community foundation may submit or collaborate on **up to two (2) proposals/concept papers**:

1. A proposal/concept paper for the county it serves.
2. A proposal/concept paper for a multi-county region.

Collaborating community foundations may request funding at a level that corresponds to the **collective population size of their multi-county region** regardless of whether each foundation has submitted separate proposals/concept papers for the county it serves.



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Phase 2 Joint/Regional Concepts

Phase 1 Example:

A community foundation that serves a county with a population of 40,000 and a community foundation that serves a county with a population of 20,000 would each be eligible to request up to **\$50,000 for planning activities in their respective counties**. If these foundations also chose to collaborate on a joint/regional proposal, they would be eligible to request additional funding of up to **\$60,000 for regional planning activities**.

Phase 2 Example:

Two community foundations, one with a county population of 50,000 and the other with a county population of 150,000, would be eligible to request **up to \$5 million and \$7.5 million, respectively, for grant activities in their respective counties**. If these foundations also chose to collaborate on a joint/regional concept paper, they would be eligible to request **up to \$7.5 million for regional grant activities in addition to their county-specific concepts**.



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Board Engagement Grants

Board member engagement is critical to the viability and effectiveness of a community foundation.

\$100,000 unrestricted grant for all community foundations demonstrating 100 percent board giving.

- \$500 contribution paid during the period from **January 1, 2024, through June 30, 2025**, from each individual serving as a voting member of a foundation's board or county committee during the same period.



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Board Engagement Grant Proposals

Board engagement grant applications should be submitted between **July 1, 2025 – July 31, 2025**, and include the following:

Cover Letter signed by the foundation's executive staff leader and board chair attesting to the completeness and accuracy of the accompanying board contribution listing (described below).

Board Contribution Listing: This report summarizes cumulative board member giving for the period from January 1, 2024, through June 30, 2025, for all voting board and county committee members serving during the same period.

Please refer to the **GIFT VIII RFP** for full details.




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If a community foundation decides it is not able or willing to pursue one or multiple of GIFT VIII's funding opportunities, will its ability to participate in future GIFT initiatives be affected?

No. While the Endowment hopes GIFT VIII's funding components can benefit each community foundation's efforts to enhance its community's quality of life, participation in any component of GIFT VIII is not required as a condition for participation in future GIFT phases.



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For GIFT VIII's matching fund grants, can funds contributed by the community foundations qualify as matching funds for the community projects and programs and/or internal capacity building options?

No. Qualifying matching funds raised for both the CPP and/or ICB options **must be from external sources** and **designated for the specific projects, programs and/or capacity-building activities** identified in a foundation's matching fund grant proposal.

While a community foundation can commit its own resources to support projects, programs and/or capacity-building activities funded through these matching options, they will not qualify as matching funds.



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My community foundation has already engaged community planning activities. Can it skip Phase 1 or use those funds for other purposes?

A community foundation **must participate** in the initiative's noncompetitive planning phase to be eligible to submit a concept paper for the competitive implementation phase.

We appreciate that many foundations already have undertaken substantial planning activities and hope that additional time and resources will strengthen those efforts.



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Could a community foundation propose using Phase 2 implementation grant funding to support activities begun during GIFT VII?

Yes. However, the Endowment encourages each community foundation to use its planning period to assess whether community stakeholders still believe the community foundation should prioritize addressing the opportunities or challenges addressed through GIFT VII grant activities or other leadership efforts.



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If my community foundation submits or collaborates on a joint/regional Phase 1 planning proposal, must it submit or collaborate on a joint/regional Phase 2 concept paper?

Community foundations may submit or collaborate on a joint/regional proposal in **one or both phases** of GIFT VIII's Community Leadership Grants component.

Submitting (or not submitting) a joint/regional proposal in one phase does not prohibit a foundation from submitting one in the other phase.



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How should my community foundation approach the joint/regional component of GIFT VIII?

Joint/regional collaboration is not a requirement for GIFT VIII's Community Leadership Grants component.

Community foundations that submit joint/regional proposals will need to provide **rationale** for why they came together as a region, e.g., regional plans developed through READI, multi-county child care coalitions, regional substance use disorder and mental health programs, cross-county trail networks.



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What outcomes would the Endowment like to see achieved through this initiative?

In their proposals/concept papers, **community foundations should clearly describe the changes** they wish to see as a result of the proposed project.

Outcomes should be feasible but **commensurate with the size of the effort and investment.**

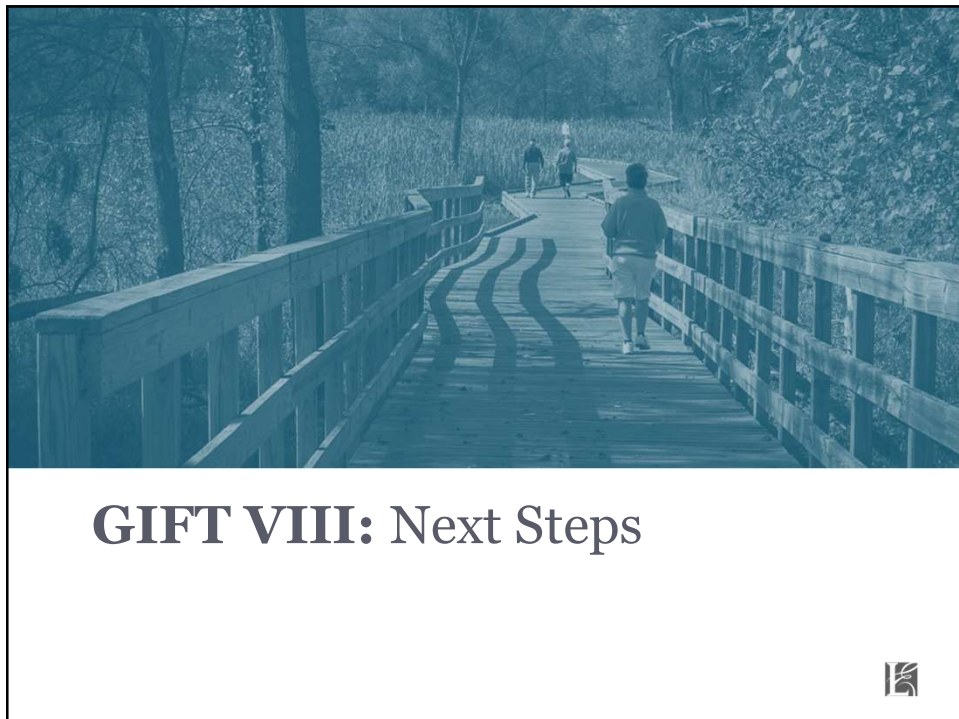
Community foundations should consider both **quantitative and qualitative impacts** when describing proposed outcomes and what metrics or performance indicators will be used to measure and track such outcomes.



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GIFT VIII Timeline: 2023 – 2024



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Indiana Philanthropy Alliance: Community Foundation Technical Assistance

- Throughout GIFT VIII, IPA's community foundation technical assistance team will curate resources and programming to support community foundations.
- Questions directly related to GIFT VIII – including those concerning qualifying matching funds, board contributions, proposals/concept papers, and eligibility requirements, among others – **should be directed to the Endowment** (gift@lei.org).



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GIFT VIII: Resources

GIFT VIII resources are available on the Endowment's website.

INITIATIVE RESOURCES

- Request for Proposals
- Grants Submission Checklist
- Matching Fund Grant Reporting Form
- Public Support Worksheet
- News Release
- Eligible Funding by County



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Phase 1 Planning Grant Submission

The Phase 1 planning grant application form is available on the Endowment's website.

GIFT VIII Community Leadership Phase 1 Planning Grants

Foundation name *

County/Countries *

Total amount requested *

Address *



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GIFT VIII: Information Session Follow-Up

A follow-up email will be sent out to community foundation representatives after this week's information sessions, which will include:

- Webinar presentation
- Frequently Asked Questions document
- GIFT VIII public communications guidance




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GIFT VIII Community Foundation Convening

- **March 4-5, 2024**, at the Westin Hotel (downtown Indianapolis)
- Additional details – including registration, speaker announcements and session information – will be shared later this fall.



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Thank You

Additional questions about
GIFT VIII?

Please email the Endowment
at gift@lei.org.